

Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

IMPROVED FERMENTATIVE CAROTENOID PRODUCTION

the specification of which

(check one)

☐ is attached hereto

☒ was filed on December 1, 1997 as

Application Serial No. 08/980'832

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>96810839.9</u> (Number)	<u>Europe</u> (Country)	<u>2 / December / 1996</u> (Day/Month/Year Filed)
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)

☒
Yes

☐
No

☐
Yes

☐
No

☐
Yes

☐
No

I hereby claim the benefit of under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.
(list name and registration number)

George W. Johnston	(Reg.No. 28090)	Patricia S. Rocha Tramaloni	(Reg.No. 31054)
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Full name of sole or first inventor

Luis Pasamontes

Inventors signature

Date

January 26, 1998

Residence

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Citizenship

Spanish

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Full name of sole or second inventor

Yuri Tsygankov

Inventors signature

Date

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(Supply similar information and signature for third and subsequent joint inventors.)

Full name of sole or third inventor, if any

Inventors signature

Date

Residence

Citizenship

Post Office Address

Title 37, Code of Federal Regulations, §1.56, duty to disclose information material to patentability (in part) provides, in part, that each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned.

Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim: or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dennis P. Tramaloni

(Print Name)

Date: March 17, 1999

(Signature)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

PASAMONTES ET AL.

Group: 1643

Examiner:

Serial No. 08/980,832, filed December 1, 1997

For: IMPROVED FERMENTATIVE CAROTENOID PRODUCTION

POWER OF ATTORNEY TO ASSOCIATE ATTORNEY

Nutley, New Jersey 07110

March 17, 1999

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Please recognize as Associate Attorneys in this application:

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